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# NOTICE OF ALLOWANCE AND FEE(S) DUE

Patent Capital Group - Cisco
2816 Lago Vista Lane
Rockwall, TX 75032

EXAMINER
CHANKONG, DOHM

ART UNIT PAPER NUMBER
2452

DATE MAILED: 02/15/2012

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/785,884	02/15/2001	Amit Phadnis	CSCO-002 (CPOL 94701)	4202

TITLE OF INVENTION: AGGREGATION DEVICES PROCESSING KEEP-ALIVE MESSAGES OF POINT-TO-POINT SESSIONS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	\$0	\$0	\$1740	05/15/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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appropriate. All further of	correspondence includir d below or directed oth	ng the Patent, advance	orders and notification o	f maintenance fees w	ill be ma	illed to the current of	ould be completed where correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.			
Patent Capital Group - Cisco 2816 Lago Vista Lane Rockwall, TX 75032				Cert	tificate of	f Mailing or Transn	
							(Depositor's name)
							(Signature)
			L				(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	OR	ATTORN	EY DOCKET NO.	CONFIRMATION NO.
09/785,884	02/15/2001	•	Amit Phadnis	•	CSCO-0	02 (CPOL 94701)	4202
TITLE OF INVENTION:							
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DU	E PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1740	\$0	\$0		\$1740	05/15/2012
EXAMI	INER	ART UNIT	CLASS-SUBCLASS				
CHANKON	G, DOHM	2452	709-223000	_			
"Fee Address" indip PTO/SB/47; Rev 03-0. Number is required.  3. ASSIGNEE NAME AN PLEASE NOTE: Unle	cation (or "Fee Address" 2 or more recent) attache ND RESIDENCE DATA ess an assignee is ident n in 37 CFR 3.11. Comp	ed. Use of a Customer  A TO BE PRINTED ON ified below, no assigne	or agents OR, alternation (2) the name of a sin registered attorney of 2 registered patent a listed, no name will THE PATENT (print or	rigle firm (having as a right agent) and the name agents. If it be printed.  Type)  patent. If an assigned assignment.	member es of up t no name i	a 2ools 3tified below, the do	cument has been filed for
Please check the appropri	ate assignee category or	categories (will not be	printed on the patent):	☐ Individual ☐ Co	orporation	or other private grou	up entity Government
4a. The following fee(s) are submitted:  Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies			4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
	SMALL ENTITY state	us. See 37 CFR 1.27.	☐ b. Applicant is no l				
NOTE: The Issue Fee and interest as shown by the re	l Publication Fee (if requecords of the United Sta	uired) will not be accept ttes Patent and Tradema	ed from anyone other thank Office.	n the applicant; a regis	stered atto	orney or agent; or the	e assignee or other party in
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This collection of informa an application. Confident submitting the completed this form and/or suggestion	ation is required by 37 C iality is governed by 35 application form to the ons for reducing this bu	CFR 1.311. The informat U.S.C. 122 and 37 CFF USPTO. Time will var rden, should be sent to t	ion is required to obtain of 1.14. This collection is ry depending upon the inche Chief Information Off	or retain a benefit by the estimated to take 12 n dividual case. Any con icer, U.S. Patent and	ne public ninutes to mments o Trademar	which is to file (and complete, including on the amount of time k Office, U.S. Depar	by the USPTO to process) gathering, preparing, and the you require to complete rtment of Commerce, P.O.

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09/785,884	02/15/2001	Amit Phadnis	CSCO-002 (CPOL 94701)	4202	
86421 75	90 02/15/2012		EXAMINER		
Patent Capital Group - Cisco			CHANKONG, DOHM		
2816 Lago Vista La					
Rockwall, TX 7503	32		ART UNIT	PAPER NUMBER	
			2452		

DATE MAILED: 02/15/2012

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1880 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1880 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)	
	09/785,884	PHADNIS ET AL.	
Notice of Allowability	Examiner	Art Unit	
	DOHM CHANKONG	2452	
The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in or other appropriate community. This application is s	this application. If not included inication will be mailed in due course. <b>THI</b>	
1. X This communication is responsive to 11/29/2011.			
<ol> <li>An election was made by the applicant in response to a rest requirement and election have been incorporated into this action.</li> </ol>	riction requirement set forth	during the interview on; the restrict	tion
3. ☑ The allowed claim(s) is/are <u>1-53</u> .			
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority under a) ☐ All b) ☐ Some* c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have</li> <li>2. ☐ Certified copies of the priority documents have</li> </ul>	been received. been received in Application	n No	
<ol><li>Copies of the certified copies of the priority do</li></ol>	cuments have been received	d in this national stage application from the	Э
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		a reply complying with the requirements	
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>			
6. CORRECTED DRAWINGS ( as "replacement sheets") must	t be submitted.		
(a) including changes required by the Notice of Draftspers	-	v ( PTO-948) attached	
1)  hereto or 2)  to Paper No./Mail Date			
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or	in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FC</li> </ol>			
Attachment(s)  1. ☑ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material	6. ☐ Interview S Paper No. 7. ☑ Examiner's	formal Patent Application  ummary (PTO-413),  Mail Date  Amendment/Comment  Statement of Reasons for Allowance  -	
/DOHM CHANKONG/			
Primary Examiner, Art Unit 2452			

# **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Thomas Frame on February 6, 2012.

The application has been amended as follows:

1. (Currently Amended) A method comprising:

receiving in an aggregation device a plurality of keep-alive messages in a communication network;

sending an aggregated request packet which includes data indicating that a plurality of statuses status of point to point (PPP) sessions is requested;

receiving an aggregated reply packet from a peer aggregation device, wherein the aggregated reply packet <u>includes information indicating indicates a respective statuses</u>

status of at least some of the plurality of PPP sessions, wherein content of a local status table is updated with the <u>respective statuses</u> status of the at least some of the plurality of PPP sessions;

maintaining the local status table in said aggregation device, wherein said local status table indicates [[a]] current statuses status of sessions supported by said aggregation device;

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updating said local status table with <u>the</u> information in said aggregated reply packet; and

generating a proxy keep-alive reply according to said local status table.

10. (Currently Amended) A method comprising:

examining an aggregated request packet to determine that a <u>plurality of statuses</u> status of a plurality of point-to-point sessions is requested;

determining the <u>plurality of statuses</u> status of each of said plurality of point-topoint (PPP) sessions;

generating an aggregated reply packet indicating the status plurality of statuses of said plurality of point-to- point sessions; and

sending said aggregated reply packet to a peer aggregation device, wherein the aggregated reply packet indicates a including information indicating respective statuses status of at least some of the plurality of PPP sessions, wherein content of a remote status table is updated with the respective statuses status of the at least some of the plurality of PPP sessions, wherein a local status table is maintained and indicates [[a]] current statuses status of sessions supported by the aggregation device, and wherein the local status table is updated with the information in the aggregated reply packet, and wherein a proxy keep-alive reply message is generated according to the local status table.

15. (Currently Amended) An aggregation device for processing a plurality of keepalive messages generated by a corresponding plurality of end systems, comprising: an input interface receiving said plurality of keep-alive messages;

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a message aggregator coupled to said input interface, said message aggregator examining said plurality of messages and generating data according to a format indicating that a <u>plurality of statuses status</u> of point to point (PPP) sessions is requested;

an output interface sending an aggregated request packet on a communication network to a peer aggregation device, said aggregated request packet containing said data generated by said message aggregator, wherein the aggregation device is further configured to receive an aggregated reply packet from the peer aggregation device, wherein the aggregated reply packet includes information indicating respective statuses indicates a status of at least some of the plurality of PPP sessions, wherein content of a local status table is updated with the respective statuses status of the at least some of the plurality of PPP sessions;

an encapsulator encapsulating said data in a packet suitable for transmission on said communication network; and

a de-aggregator configured to receive the aggregated reply packet from said peer aggregation device, wherein said aggregated reply packet <u>includes information indicating</u> the respective statuses <u>indicates the status</u> of the at least some of said plurality of PPP sessions, said de-aggregator updating said remote status table with the information in said aggregated reply packet.

21. (Currently Amended) An aggregation device for processing a plurality of keepalive messages generated by a corresponding plurality of end systems, comprising:

means for receiving said plurality of keep-alive messages;

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means for generating an aggregated request packet which includes data indicating that a <u>plurality of statuses</u> status of point to point (PPP) sessions is requested;

means for sending said aggregated request packet to a peer aggregation device; means for receiving an aggregated reply packet from the peer aggregation device, wherein the aggregated reply packet <u>includes information indicating respective statuses</u> indicates a status of at least some of the plurality of PPP sessions, wherein content of a local status table is updated with the <u>respective statuses</u> status of the at least some of the plurality of PPP sessions;

means for maintaining the local status table in said aggregation device, wherein said local status table indicates [[a]] current statuses status of sessions supported by said aggregation device;

means for updating said local status table with <u>the</u> information in said aggregated reply packet; and

means for generating a proxy keep-alive reply according to said local status table.

25. (Currently Amended) An aggregation device for processing an aggregated request packet, wherein said aggregated request packet is received from a peer aggregation device and indicates that a <u>plurality of statuses</u> status of a plurality of point-to-point sessions are requested, said aggregation device comprising:

means for examining said aggregated request packet to determine that the <u>plurality of statuses</u> status of said plurality of point-to-point sessions is requested;

means for determining the plurality of statuses status of each of said plurality of point-to-point sessions;

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means for generating an aggregated reply packet indicating the <u>plurality of</u>
<a href="mailto:statuses">statuses</a> statuses status of said plurality of point-to-point sessions;</a>

means for sending said aggregated reply packet to said peer aggregation device, wherein the aggregated reply packet <u>includes information indicating indicates a status</u> respective statuses of at least some of the plurality of PPP sessions, wherein content of a remote status table is updated with the <u>respective statuses</u> status of the at least some of the plurality of PPP sessions, wherein a local status table is maintained and indicates [[a]] current statuses status of sessions supported by the aggregation device, and wherein the local status table is updated with <u>the</u> information in the aggregated reply packet, and wherein a proxy keep- alive reply message is generated according to the local status table.

30. (Currently Amended) An aggregation device for processing an aggregated request packet, wherein said aggregated request packet is received from a peer aggregation device and indicates that a <u>plurality of statuses status</u> of a plurality of point-to-point sessions are requested, said aggregation device comprising:

an input interface configured for receiving said aggregated request packet;

a de-encapsulator configured for examining said aggregated request packet to determine that the <u>plurality of statuses</u> status of said plurality of point-to-point (PPP) sessions is requested;

a reply generator configured for determining the <u>plurality of statuses</u> status of each of said plurality of point-to-point sessions, and generating an aggregated reply

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packet including information indicating the plurality of statuses status of each of said plurality of point-to-point sessions; and

an output interface configured for sending said aggregated reply packet to said peer aggregation device, wherein content of a local status table is updated with the status of the PPP sessions, wherein the local status table indicates [[a]] current statuses status of particular sessions supported by the aggregation device, and wherein the local status table is updated with the information in the aggregated reply packet, and wherein a proxy keep-alive reply message is generated according to the local status table.

37. (Currently Amended) A non-transitory medium including one or more sequences of instructions, wherein execution of said one or more sequences of instructions by one or more processors causes the actions of:

receiving in an aggregation device a plurality of keep-alive messages;

generating in said aggregation device an aggregated request packet which includes data indicating that a <u>plurality of statuses</u> status of PPP sessions is requested;

receiving an aggregated reply packet from a peer aggregation device, wherein the aggregated reply packet includes information indicating respective statuses indicates a status of at least some of the plurality of PPP sessions, wherein content of a local status table is updated with the respective statuses status of the at least some of the plurality of PPP sessions;

updating said remote status table with the information in said aggregated reply packet; and

generating a proxy keep-alive reply according to said remote status table.

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42. (Currently Amended) A non-transitory medium including one or more sequences of instructions, wherein execution of said one or more sequences of instructions by one or more processors causes the actions of:

examining said aggregated request packet to determine that a <u>plurality of statuses</u> status of a plurality of point-to-point sessions is requested;

determining the <u>plurality of statuses</u> status of each of said plurality of point-topoint sessions;

generating an aggregated reply packet indicating the <u>plurality of statuses</u> status of said plurality of point-to- point sessions;

sending said aggregated reply packet to a peer aggregation device; and receiving the aggregated reply packet from the peer aggregation device, wherein the aggregated reply packet <u>includes information indicating respective statuses</u> indicates a status of at least some of the plurality of PPP sessions, wherein content of a local status table is updated with the <u>respective statuses</u> status of the at least some of the plurality of PPP sessions, wherein the local status table indicates [[a]] current statuses status of sessions supported by the aggregation device, and wherein the local status table is updated with the information in the aggregated reply packet, and wherein a proxy keepalive reply message is generated according to the local status table.

# 47. (Currently Amended) A system, comprising:

a first aggregation device receiving a plurality of keep-alive messages generated by a corresponding plurality of end systems, each of said plurality of keep-alive messages being designed to request a plurality of statuses status of a corresponding a plurality of Application/Control Number: 09/785,884

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point to point (PPP) session implemented on a communication network, said first aggregation device generating an aggregated request packet which includes data indicating that the <u>plurality of statuses status</u> of said <u>plurality of PPP</u> sessions is requested, and sending said aggregated request packet; and

a peer aggregation device receiving said aggregated request packet and including information indicating the plurality of statuses status of said plurality of PPP sessions in an aggregated reply packet, said peer aggregation packet sending said aggregated reply packet to said first aggregation device, wherein content of a local status table is updated with the plurality of statuses status of the plurality of PPP sessions, wherein the local status table indicates [[a]] current statuses status of sessions supported by the first aggregation device, and wherein the local status table is updated with the information in the aggregated reply packet, and wherein a proxy keep-alive reply message is generated according to the local status table.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DOHM CHANKONG whose telephone number is (571)272-3942. The examiner can normally be reached on Monday to Friday [10 am - 6 pm].

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Thu Nguyen can be reached on (571)272-6967. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/DOHM CHANKONG/ Primary Examiner, Art Unit 2452